GRC330: SAP Process Control 10.1 Implementation and Configuration

Course Outline

Course announcements

This course offers hands-on configuration and implementation of SAP Process Control 10.1, as well as important concepts you will need to know in order to prepare for implementation and ongoing prevention of process risk.

Course Duration

5 Days

Delivery Format

Classroom, Virtual Live Classroom, Hybrid

Course Fee

Please contact us for details

Goals

- Discuss the purpose and business use of Process Control
- Describe key features and related harmonization topics
- Describe risk-based internal controls
- Configure Process Control customizing settings and those shared across GRC
- Create and manage master data
- Discuss security and authorization requirements
- Implement the Process Control solution
- Use risk-managed financial compliance practices
- Plan and process surveys and tests
- Set up and maintain Continuous Monitoring
- Integrate and use Process Control with Policy Management
- Discuss operational compliance
- Aggregate deficiencies
- Use the harmonized reporting framework

- Describe the use of custom-defined fields and document search

Audience

- Implementation Consultants
- Key Technical Business Users involved in a Process Control project
- IT Governance Experts
- Consultants for SAP Security and GRC IT Auditors
- Business Project Team Leaders
- Compliance Managers
- Internal Audit Managers

Prerequisites

Essential

- GRC100
- Knowledge of integrated processes in an SAP system
- Knowledge of authorization concepts in an SAP system
- Practical knowledge of common business processes

Recommended

- Experience with compliance regulations

Content

- Enterprise Risk and Compliance
  - Introduce Enterprise Risk and Internal Control Management
  - Explain Core Processes supporting Enterprise Risk and Compliance
- Governance
  - Describe how Process Control helps strengthen internal controls
  - Describe how Process Control provides an effective solution for managing internal control and compliance activities
  - Explain the integrated approach of SAP GRC solutions
  - Describe the main integration points within SAP GRC solutions
  - Describe the main integration points between SAP GRC solutions and other applications
  - Describe the technical requirements for SAP GRC12.0 installation
- Implementation Planning
  - Describe the purpose of the common technical platform
  - Explain the benefits from a streamlined end user experience
  - Explain the work centers and their functionality
  - Describe SAP Fiori and the apps delivered for SAP Process Control 12.0
  - Explain enhancements to user delegation and perform personalization of the UI
  - Describe entry pages and their configuration
  - Introduce updates to the authorization concept and delivered content for SAP Process Control
- Configuration Requirements
  - Customize general tasks

- Configure shared master data settings
- Configure workflow in the IMG
- Identify all role related configuration activities related to Process Control implementation
- Perform Process Control-specific customizing tasks in the IMG

- Creation and Management of Manage Master Data
  - Describe methods for loading master data
  - Identify master data configuration process and procedure
  - Describe master data types
  - Describe organization hierarchies
  - Explain procedure for creating and maintaining organization hierarchies
  - Describe the risk catalog and control objectives
  - Explain the procedure for maintaining risk definitions
  - Describe the accounts work set functionality
  - Create an account group
  - Describe process hierarchies
  - Explain procedure for creating and maintaining processes, subprocesses and controls
  - Describe indirect entity-level controls
  - Create an indirect entity-level control group
  - Describe the process for mapping a central process hierarchy to an organization
  - Describe the concept of local control
  - Explain what constitutes a shared services provider and a referenced control

- Explain the process for maintaining the user to role assignment in Process Control
- Describe the process for performing mass maintenance of user to role assignments in Process Control
- Explain how risk management risks can be assigned in PC's control framework
- Explain how risk harmonization affects the risk-based scoping and monitoring of risks
- Describe the process to upload master data using MDUG
- Describe the process for generating an MDUG template and uploading data
- Describe required configuration for master data change request workflow
- Describe how the requestor makes the desired change to a master data object
- Compliance Framework
  - Configure a multi-compliance framework
- Surveys and Manual Testing
  - Describe the purpose of surveys and tests in Process Control
  - Describe the evaluation process
  - Describe Planner features
  - Navigate Planner
  - Use Planner Monitor
  - Describe the assessment surveys
  - Create entries in the survey question library
  - Create, plan, and complete a survey
  - Discuss how a survey can be used
  - Create, plan, and complete disclosure survey
  - Describe manual control test plans
  - Create a manual test plan
- Plan and complete a manual test of effectiveness
- Download the offline testing forms
- Describe how to complete the form and submit
- Execute and review the data sheet report
- Describe the issue and remediation process
- Create an issue and remediation plan
- Discuss retesting options
- Ad Hoc Issues
  - Discuss Ad Hoc Issues
  - Configure Ah Hoc Issues
  - Create ad hoc issues
  - Discuss Remediation and Monitoring
- Continuous Control Monitoring
  - Describe features of continuous monitoring and its functionality
  - Explain the business benefits of these features
  - Define CCM-relevant connectors for the Integration Framework
  - Maintain connection settings
  - Describe the purpose and function of data sources
  - Create a data source
  - Describe business rule purpose and functionality
  - Create a business rule
  - Change an existing business rule
  - Describe business rule assignment
  - Assign a business rule to a control
  - Create a continuous monitoring job
  - View a continuous monitoring job
  - Create a data source for the configurable rule subscenario
  - Create a business rule for the configurable rule subscenario

- Validate an ABAP report
- Create a data source with subscenario ABAP report
- Create a business rule for subscenario ABAP report
- Explain the business benefits of using the import and export functionality
- Describe the import and export features
- Perform the import and export in the GRC system
- Closing Activities
- Explain the meaning of sign-off in the context of ICS management
- Prepare and trigger the sign-off process in the planner
- Explain results and reporting
- Explain Aggregation of Deficiencies (AoD) in Process Control
- Distinguish between the bottom-up approach and aggregation at the top
- Describe the options for analysis in the AoD process
- Reporting
  - Navigate reports
  - Describe Crystal integration
  - Discuss the multi-compliance framework in reporting
  - Explain SAP SAP Fiori Apps for Process Control
  - Describe dashboard features and navigation
  - Configure dashboard prerequisites